

CORECOMPLY

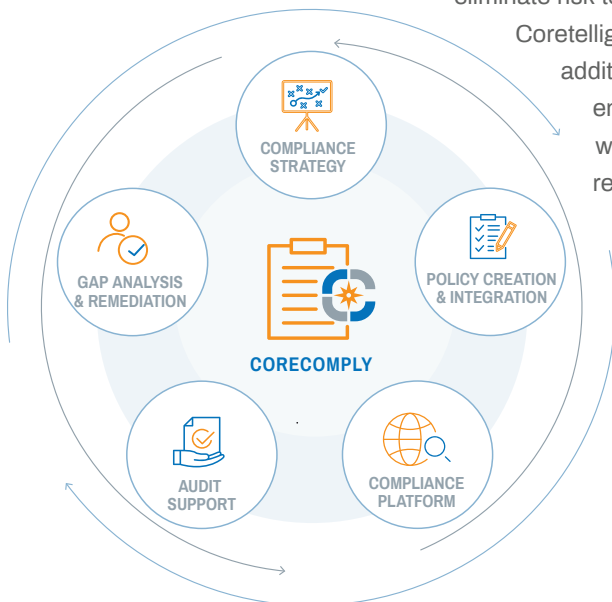
IT Compliance Designed to Meet the Requirements of the Financial Services Sector

YOUR COMPLIANCE CHALLENGE SOLVED

Financial services firms must reevaluate their adherence to compliance in response to the rapidly evolving technology and risk environment. New data privacy regulations, increased audits and enforcement measures, and strengthened 3rd party risk management requirements are driving SMBs to reevaluate their compliance programs. Unfortunately, many organizations lack the resources and expertise to manage these increasing compliance drivers effectively. Therefore, it is critical that SMBs seek a solution to develop a well-designed holistic GRC (governance, risk, compliance) strategy to continuously assess this challenge.

Coretelligent's **CoreComply** solution is a robust compliance platform and advisory service designed for financial services to strengthen and align compliance operations with business operations. Our compliance solution acts as a force multiplier while streamlining the extensive compliance process. Combining a robust technology platform with compliance expertise, our solution enables the discovery and validation of active gaps in your current compliance practice and provides ongoing strategy and guidance on how to best address and close these gaps to manage and eliminate risk to your organization.

Coretelligent also provides additional services that engage and assist with any technical remediation that may be required.



BENEFITS

Compliance Alignment: Address SEC, FINRA, and other compliance regulatory requirements.

Cost Savings: Rising cyber insurance costs and increasing regulatory enforcement makes the cost of non-compliance prohibitive.

Audit Ready: Realize efficiencies and streamline audits with in-depth and simplified tracking and reporting.

Scalable: Get the solution you need now that is also designed to scale as your company grows.

Consultative: Whether your organization has an in-house team or not, we will work with you to provide a solution that meets your needs.

Secure: Addressing compliance makes your organization more secure and reduces risk.

KEY SERVICES

- Compliance Strategy & Industry Expertise
- Integrated Virtual Chief Information Security Officer (vCISO)
- Compliance Platform
- Policy Creation & Implementation
- Gap Analysis
- Audit Support
- Comprehensive Quarterly & Annual Reports

HOW IT WORKS



COLLECT

ANALYZE

REPORT

ADVISE



SERVICE OFFERINGS

| | Essential | Standard | Advanced |
|--|-----------|----------|----------|
| Onboarding | | | |
| Platform Setup & Onboarding | | ✓ | ✓ |
| Data Scoping, Collection & Review | ✓ | ✓ | ✓ |
| Requirement & Control Alignment | | ✓ | ✓ |
| Roadmap Planning | | ✓ | ✓ |
| Reporting & Strategy Ongoing | | | |
| Ongoing Reporting & Gap Analysis | | ✓ | ✓ |
| Policy Authorship & Management | ✓ | ✓ | ✓ |
| Third-Party Risk Management Program | | | ✓ |
| Client Check-in (90 day rollup/status check) | ✓ | ✓ | ✓ |
| External Security Posture Monitoring | | | ✓ |
| Reporting & Strategy Annually | | | |
| Annual Executive Report | | ✓ | ✓ |
| Annual Risk Assessment Report | | | ✓ |
| Incident Response Exercise | | | ✓ |
| Additional Service Offerings | | | |
| Audit Support | | ✓ | ✓ |
| DDQ Support | ✓ | ✓ | ✓ |
| Cybersecurity Insurance Support | | | ✓ |



SOLVE YOUR COMPLIANCE CHALLENGE NOW & INTO THE FUTURE

Maintaining a thorough compliance position is more critical than ever with today's increasingly complex legal, regulatory, and contractual requirements. The regulatory environment has become volatile, while at the same time the price of non-compliance is spiraling out of control. Non-compliance also comes with harsh consequences, including penalties, reputational loss, lost revenue, legal threats, and more. Additionally, the exponential growth of third-party relationships has led to the need to provide improved management to reduce risk exposure.

CoreComply can streamline and reinforce your compliance response to reduce risk, produce efficiencies, and improve operations.

Reach out to learn more about CoreComply
by visiting www.coretelligent.com or calling 855.841.5888.

TOLL FREE

1.855.841.5888

BOSTON

Corporate Headquarters
197 First Ave, Suite 360
Needham, MA 02494
phone 781.247.4900
fax 781.634.0542

MAINE

8 Science Park Road
Suite 201
Scarborough, ME 04074

NEW YORK

750 Third Avenue, 9th Floor
New York, NY 10017
phone 646.693.6100

SAN FRANCISCO BAY AREA

800 West El Camino Real
Suite 180
Mountain View, CA 94040
phone 650.837.0700

ATLANTA

3090 Premier Pkwy, Suite 300
Duluth, GA 30019
phone 678.730.0345

STAMFORD

1150 Summer Street, First Floor
Stamford, CT 06905
phone 203.936.7848

About Coretelligent



As a leading provider of comprehensive managed IT, cybersecurity, digital transformation, DevOps, IT strategy, and more, Coretelligent enables organizations to seamlessly power and grow their businesses. Founded in 2006 and led by world-class technology experts, Coretelligent's core services are utilized by top-tier organizations in the financial services, life sciences, legal, and technology industries, among others. Coretelligent's headquarters is in Needham, MA, with strategic offices located in New York City, Atlanta, Stamford, Scarborough, and the San Francisco Bay area; with expanded support locations in Dallas, Los Angeles, Philadelphia, Tampa, Washington, DC, and West Palm Beach.